

Anti-Bribery Statement

Mizuho Securities Asia Limited

Mizuho Securities Asia Limited will work towards preventing bribery, gifts and entertainment, charitable, philanthropic and political donations that exceeds social norms (collectively, "Bribery") based on the policy stated below.

Basic concept

MHSA recognizes the importance of Bribery prevention. MHSA will implement appropriate measures to prevent bribery in order to comply with relevant rules and regulations. Gift offering must be consistent with local market practice and not be excessive.

MHSA will maintain a firm attitude towards Bribery and will not engage in any transactions with parties involved in or suspected of Bribery.

Management

MHSA will assign clear roles within the company for the prevention of Bribery.

MHSA will provide ongoing training to all officers and employees to raise awareness of Bribery prevention.

Checking and monitoring

MHSA will check and monitor our compliance with rules and regulations relating to Bribery prevention and will continue to improve our policy based on the results of monitoring.